

Docket No.: 050395-0219



PATENT

*DSW*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Naoki NISHIYAMA	:	Confirmation Number: 4807
Application No.: 10/633,588	:	Group Art Unit: 2878
Filed: August 05, 2003	:	Examiner: John R. Lee
For: AN OPTICAL RECEIVER AND METHOD FOR MANUFACTURING THE SAME	:	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

04/19/2007 YPOLITE1 00000073 500417 10633588  
01 FC:1806 180.00 DA

10/633,588

In accordance with 37 CFR 1.17(p), please charge the fee of \$180.00 to Deposit Account No. 500417.

The references were cited in a corresponding Japanese Office Action and its relevance is discussed therein. A copy of the Japanese Office Action, together with an English language version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

*[Handwritten signature]* for

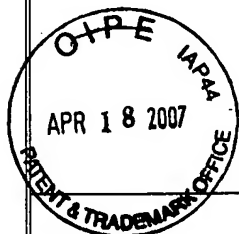
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**Please recognize our Customer No. 20277  
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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION



(PTO-1449)

 ATTY. DOCKET NO.  
**050395-0219**

 SERIAL NO.  
**10/633,588**

 APPLICANT  
**Naoki NISHIYAMA**

 FILING DATE  
**August 05, 2003**

 GROUP  
**2878**

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 6,157,022	12-05-2000	MAEDA et al.	
		US 5,278,404	01-11-1994	YEATES	
		US			
		US			

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Code <sup>3</sup> -Number & -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		JP 11-205249	07-30-1999	OKI TEC:KK; OKI ELECTRIC IND CO LTD		JAPAN (w/English abstract)	
		JP 2002-084235	03-22-2002	FUJITSU LTD		JAPAN (w/English abstract)	
		JP 06-164499	06-10-1994	SONY CORP		JAPAN (w/English abstract)	
		JP 06-209290	07-26-1994	AMERICAN TELEPH & TELEGR CO <ATT>		JAPAN (w/English abstract)	
		JP 2000-201031	07-18-2000	NEC MIYAGI LTD		JAPAN (w/English abstract)	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Japanese Office Action with English translation issued in corresponding Japanese Patent Application No. 2002-227443, dated January 12, 2007

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.